

DUGless Portal Guide

Version 1.0 Effective October 1, 2018

Division of Health Care Management (DHCM)

Data Analysis and Research (DAR)



DUGless Portal Guide

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I. Introduction

Purpose

The DUGless Portal Guide (DPG) serves as a procedures manual which outlines the requirements, definitions, and values for submission of the identified data elements. Required information is collected by Providers within the Arizona Health Care Cost Containment System (AHCCCS) system and submitted via the DPG. Data and information are recorded and reported to Managed Care Organizations (MCO's), Regional Behavioral Health Authorities (RBHA), and Tribal Regional Behavioral Health Authorities (TRBHAs), to assist in monitoring and tracking of the following:

- Access and utilization of services
- Community and stakeholder information
- Compliance of Federal, State, and grant requirements
- Health disparities and inequities
- Member summaries and outcomes
- Quality and Medical Management activities
- Social Determinants of Health

The data fields contained within the DPG are required as outlined by contacts and to support healthcare management practices across the AHCCCS systems. Timeframes for submission are outlined within the following sections; demographic data records must match the Member's medical records. The DPG will not contain will not include any data previously submitted through the former DUG system.

Scope of Members to be Reported

The suggestion from AHCCCS on the scope of Member data to be submitted is for those Members for whom the data applies to and is collected by the Provider. AHCCCS Complete Care Contracts, MCOs, RBHAs, and TRBHAs may provide additional support and recommendations on information submitted.

Timeframes for Data Submission

Data is to be submitted for all new Members and when the Member's data changes and/or is updated (i.e. a Member's employment status changed from full-time to part-time employment).

Data Submission Options

The portal has two options for providers to submit data, by individual Member (single case, page 9) and by multiple Members in a text file (file upload, page 10). Data may be submitted through either one of these options, not both.

Data Distribution

Data submitted through the portal may be shared with AHCCCS Complete Care Contractors, MCOs, RBHAs, and TRBHAs, as applicable, and if requested from AHCCCS.



Provider Data Management Vendors

In the event a provider will utilize a vendor to assist with the capture and submission of DUGless data, the vendor will not be able (at this time) to upload text files or single cases directly and must prepare text files on behalf of providers which will then be uploaded by the individual provider to the portal.

Contacts

Any questions about the portal or the data fields in the portal should be submitted to DHCM/DAR Information Management/Data Analytics Unit (IMDAU) Manager, Angela Aguayo at Angela.Aguayo@azahcccs.gov and should also include Lori Petre (Lori.Petre@azahcccs.gov), Data Analysis and Research Manager for DHCHM/DAR. If there are any technical issues with the portal contact Customer Support at either ISDCustomerSupport@azahcccs.gov or 602-417-4451.

Guide Updates and Revisions

This document serves as a document of reference and will be updated to ensure consistency of changes within the AHCCCS data healthcare system. Revisions to this document are tracked and listed at the end of this document.

Interactive Reference

In an effort to support paper-reduction practices this document is developed as an interactive reference Acrobat PDF (Portable Document Format) document medium. The Table of Contents contains links to the specific data fields and sections within the DPG for the corresponding data elements/fields. This allows for a quick single-click reference to the key sections, policies, and supportive documents located throughout AHCCCS's website. <u>Hyperlinks</u> are displayed with a blue underlined font.

Portal Data Fields Layout Descriptions

All data submitted via the DPG is identified by a field number and a field name. Data fields and names include subsections: field labels, descriptions, valid values, rules and definitions, updates, and examples to assist in submission of data elements and consistency of data; further explanation is provided within the following sections.

| Sub-Section | Description |
|--------------------------|---|
| Field Label | Label utilized for data submission within the Demographics Portal. |
| Description | Describes the data field with a brief definition and/or description of the population specifics. |
| Valid Values | A list of all current valid values. |
| Rules and Definitions | Defines valid values, when applicable; describes how often the information should be updated, and any other applicable rules and/or data validations. |
| Updates | Provides a list of history, updates, and revisions based on manual editions. |
| Examples | Describes one or more situations and the valid value(s) used in the situation(s). Bolded areas are examples of the data submission. |

II. Portal Registration

Portal Registration

The following information is required in order to register to the portal:

- National Provider Identifier (NPI) <u>OR</u> AHCCCS Provider ID AND
- 2. Tax Identification Number (TIN)

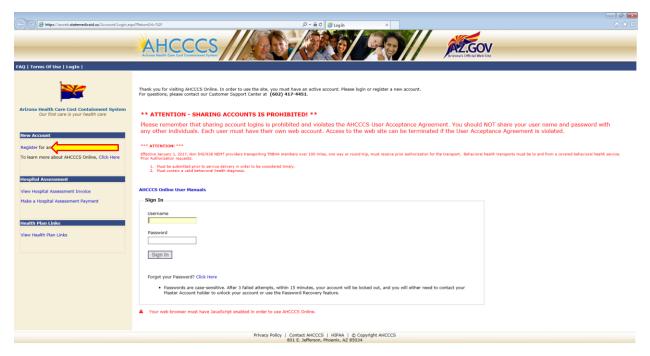
Master Account vs. Individual Accounts

There are two types of accounts that can be created for the DUGless Portal website, Master Accounts and Individual Accounts. A Master Account is the administrator for all accounts created under their Provider ID. This includes activating new accounts, removing accounts for those no longer working for the organization, maintaining site privileges for each user, initiating the password recovery process, and maintaining some of the account information for the Individual accounts. Please contact AHCCCS Provider Registration at 602-417-7670 Option 5 for questions concerning provider group affiliation.

The first account registered for the Provider/Agency will be the Master Account holder. For more detailed information regarding Master Accounts see Appendix A (page 5) – AHCCCS Online Learn More or visit the website.

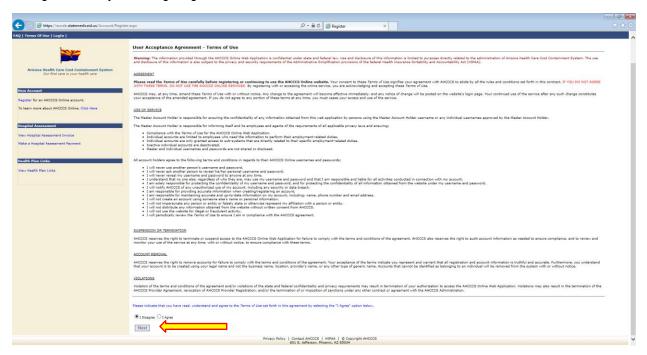
If the Provider/Agency already has a Master Account, additional user may register for an Individual Account. When an Individual Account is created, the Master Account holder for the Provider/Agency will receive an email to approve the account and they will need to grant individual access to the Supplemental Member Data (DUGless Portal)

Step 1: Go to the following website: https://azweb.statemedicaid.us
Click on the 'Register' link, under the 'New Account' section, on the left hand side of the website



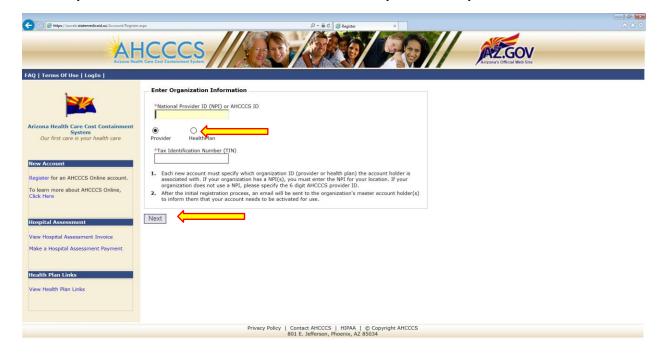


Step 2: To proceed with the registration, please read the **User Acceptance Agreement - Terms of Use** and accept the agreement by selecting 'I agree' and click on 'Next'

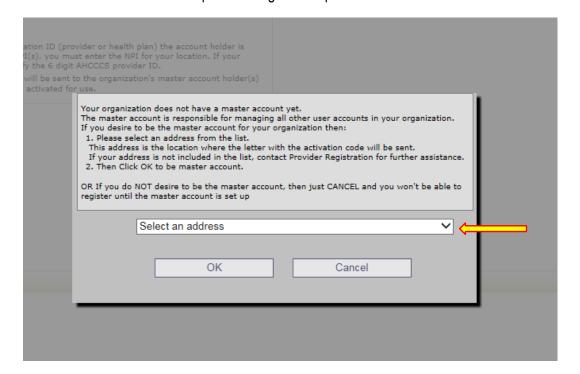


Step 3: Next enter the provider NPI or AHCCCS Provider ID* AND TIN. Make sure 'Provider' is selected under the ID, click 'Next'

NOTE: If your Provider ID is associated to more than one NPI, you must use your NPI

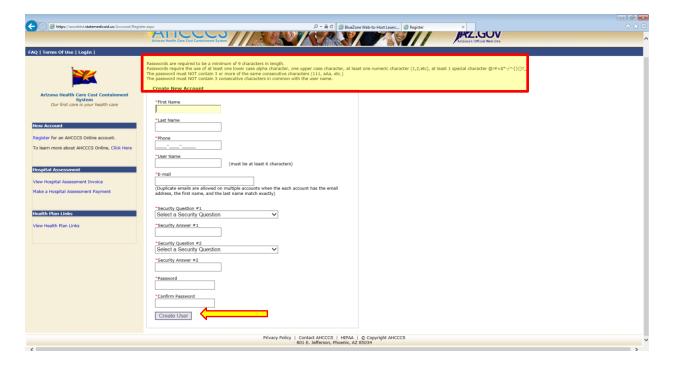


If your organization does not have a Master Account, you will receive the following message:
Either select an address to continue and create a Master Account OR
Select Cancel and suspend the registration process



Step 4: Complete the user information, select two security questions, and create a password to create the new account, and then click 'Create User'

NOTE: The password requirements are located on top of the webpage:





Password Requirements:

Passwords are required to be a minimum of 9 characters in length.

Passwords require the use of at least one lower case alpha character, one upper case character, at least one numeric character (1,2,etc), at least 1 special character @!#=\$*-/^{{\}()?_

The password must NOT contain 3 or more of the same consecutive characters (111, aAa, etc.)

The password must NOT contain 3 consecutive characters in common with the user name.

Step 5: Once you have successfully completed the information requested, you will see the following page:



Step 6: You will receive a letter in the mail, sent to the organization address you selected. The letter will contain an activation code. You will enter this code on the portal logon page to activate your account.

Step 7: After receiving the code in the Mail enter user credentials and the activation code to activate Master user account, the next time you log into the portal.

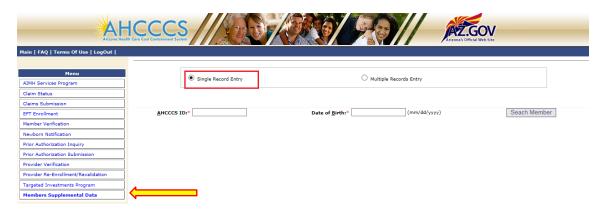
Please also refer to 'Frequently Asked Questions' on the <u>website</u> for any additional registration and/or account questions and who to contact for any questions regarding the AHCCCS Online accounts.

As a reminder, please remember that sharing account logins is prohibited and violates the AHCCCS User Acceptance Agreement. You should NOT share your user name and password with any other individuals. Each user must have their own web account and access to the web site can be terminated if the User Acceptance Agreement is violated.

III. Guidelines for Single Case Data Submissions

Single Case Submissions

Providers may submit data for individual Members through the AHCCCS Online Main Screen, under 'Member Supplemental Data' and selecting the 'Single Entry Record' option in the portal.



The Member's AHCCCS ID <u>AND</u> Date of Birth are required in order to submit single case data. Once the Member is identified in the system, the appropriate data fields auto-populated based on the Member's age and gender.

Once the data fields are completed, Providers must click on the 'Submit' button on the bottom on the page. A message will appear on the screen to indicate the data was successfully recorded. If there are any errors with the data, an error message will appear. Any data that receives an error message will not be saved in our system and will need to be re-submitted.



IV. Guidelines for File Upload Submissions

File Format and Name

Providers may submit data on various Members by uploading a file through the AHCCCS Online Main Screen, under 'Member Supplemental Data' and selecting the 'Multiple Records Entry' option in the portal. The file must be in a plain text format with fixed length values, as detailed in File Layout Table below (page 12), and a file size limit of 10 MB. Providers may submit data on Members from any Provider IDs, as long as ID is active in our system.



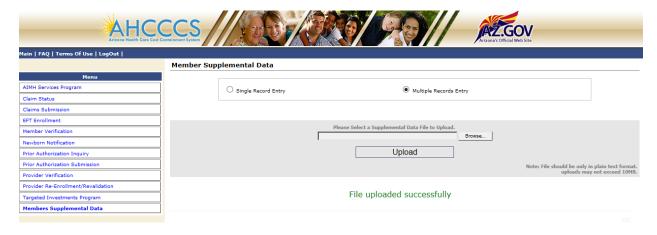
The following standards should be used for all file names:

- MMDDCCYY of submission
- Provider ID the user registered with, regardless of Provider IDs included in the file
- 3 digit sequence number (in the event of multiple files in a single day)

For example, 10012018111111001.txt

File Submission Results

When data is submitted, a summary of the results of the processed file will be displayed. If there are errors, reason of record rejection will be specified next to that line number and AHCCCS ID, in red. To correct errors, a new file will need to be submitted. The error detail list can be uploaded to Excel. Any data that receives an error message will not be saved in our system and will need to be re-submitted.



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Member Supplemental Data Single Record Entry Multiple Records Entry Please Select a Supplemental Data File to Upload. Browse... Total number of lines: 1 Upload Note: File should be only in plain text format. uploads may not exceed 10MB.

No of lines successfully inserted: 0

Export To Excel

| | Line Number | | Error Detail |
|----------------------|-----------------|----------------|--|
| Line 1 , AHCCCS IDA(| ,Date Of Birth: | , Provider ID: | Either Provider ID is not Active or not found. |
| Line 1 , AHCCCS IDA(| ,Date Of Birth: | , Provider ID: | Referral date is not in right format. |
| Line 1 , AHCCCS IDA(| ,Date Of Birth: | , Provider ID: | Referral source is not in right format. |
| Line 1 , AHCCCS IDA(| ,Date Of Birth: | , Provider ID: | Member not found. |
| Line 1 , AHCCCS IDA(| ,Date Of Birth: | , Provider ID: | Error found in CASII Intensity Date. |
| Line 1 , AHCCCS IDA(| ,Date Of Birth: | , Provider ID: | Error found in SA values. |

Records processed: ###
Records accepted: ###
Records rejected: ###
Rejection Details:

Line: ### AHCCCS ID: ### DOB: ### Provider ID: ### Reason: <reason for record rejection>

Possible Rejection Reasons:

- Invalid AHCCCS ID
- Invalid Date Format
- Member Not Found
- Value Not Applicable to the Field
- Value Out of Range
- Value Not applicable for Member Age
- Value Not Applicable for Member Gender

File Upload Example

502818A00156636195903252018050103V02YXYXYX24Y1AXX20180514000116000001160000011600
502818A00156636195903252018050403V12YXYXYX24Y1AXX20180514020121160001160000011600
502818A00156636195903252018050303V12YXYXYX24Y1AXX20180514020121160001160000011600
502818A00156636195903252018050203V12YXYXYX24Y1AXX20180514020121160001160000011600

File Layout Table for File Upload (Multiple Records Entry)

| Field Label | Data Type | Length | Start | End |
|---------------------------------|-----------|--------|-------|-----|
| PROVIDER_ID | varchar | 6 | 1 | 6 |
| AHCCCS_ID | varchar | 9 | 7 | 15 |
| DOB (YYYYMMDD) | datetime | 8 | 16 | 23 |
| REFERRAL_DATE (YYYYMMDD) | datetime | 8 | 24 | 31 |
| REFERRAL_SOURCE | varchar | 2 | 32 | 33 |
| TREATMENT_PARTICIPATION | varchar | 1 | 34 | 34 |
| NUMBER_OF_ARRESTS | tinyint | 2 | 35 | 36 |
| OA_ADC | varchar | 1 | 37 | 37 |
| OA_ADJC | varchar | 1 | 38 | 38 |
| OA_AOC_ADULT | varchar | 1 | 39 | 39 |
| OA_AOC_JUVENILE | varchar | 1 | 40 | 40 |
| OA_DES_RSA | varchar | 1 | 41 | 41 |
| OA_SCHOOL_SPECIAL_ED | varchar | 1 | 42 | 42 |
| EMPLOYMENT_STATUS | varchar | 2 | 43 | 44 |
| SP_WOMAN_DC | varchar | 1 | 45 | 45 |
| SUPPORT_GROUPS_PARTICIPATION | varchar | 1 | 46 | 46 |
| MILITARY_STATUS | varchar | 1 | 47 | 47 |
| CASII_INTENSITY_LEVEL | varchar | 2 | 48 | 49 |
| CASII_INTENSITY_DATE (YYYYMMDD) | datetime | 8 | 50 | 57 |
| SA_PRIMARY_TYPE | varchar | 4 | 58 | 61 |
| SA_FREQUENCY_1 | varchar | 1 | 62 | 62 |
| SA_ROUTE_1 | varchar | 1 | 63 | 63 |
| SA_AGE_1 | tinyint | 2 | 64 | 65 |
| SA_SECONDARY_TYPE | varchar | 4 | 66 | 69 |
| SA_FREQUENCY_2 | varchar | 1 | 70 | 70 |
| SA_ROUTE_2 | varchar | 1 | 71 | 71 |
| SA_AGE_2 | tinyint | 2 | 72 | 73 |
| SA_TERTIARY_TYPE | varchar | 4 | 74 | 77 |
| SA_FREQUENCY_3 | varchar | 1 | 78 | 78 |
| SA_ROUTE_3 | varchar | 1 | 79 | 79 |
| SA_AGE_3 | tinyint | 2 | 80 | 81 |

V. Portal Data Fields

| | 1- Provider ID | |
|-----------------------|--|--|
| Field Label | Provider_ID | |
| Description | Identifies the provider submitting the file | |
| Valid Values | Valid AHCCCS Provider ID (6 characters) | |
| Rules and Definitions | Required on all submissions/transactions | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Provider submitting the file is 111111. Enter 111111 | |

| | 2- AHCCCS ID |
|--------------------------|--|
| Field Label | AHCCCS_ID |
| Description | The unique identifier (ID) assigned by AHCCCS |
| Valid Values | A unique type 'A' AHCCCS ID. |
| Rules and Definitions | AHCCCS ID is required on all submissions/transactions. ID must match ID in 834 enrollment AHCCCS table. Field will be a single text box with a maximum length of 9 characters. Verification will be implemented to ensure that entered values must start with an "A" and have digits for the rest of the field length. |
| Updates | 10/01/2018 Field added. |
| Examples | Enter Member's unique AHCCCS 'A' or 'S' type ID assigned. Enter A55555555 |

| 3- Date of Birth (DOB) | |
|------------------------|---|
| Field Label | DOB |
| Description | The day the Member was born. |
| Valid Values | YYYYMMDD Format |
| Rules and Definitions | Required on all transactions Must match DOB on 834 enrollment record AHCCCS table. |
| Updates | 10/01/2018 Field added. |
| Examples | Date is recorded as the 4-digit year, 2-digit month and 2-digit day. A Member's date of birth is March 9, 1943. Enter 19430309 |

| | 4- Referral Date |
|-----------------------|--|
| Field Label | Referral_Date |
| Description | The date when the provider received a referral for service. A referral includes an oral, written, faxed or electronic request for services made by the Member or on the Member's behalf. |
| Valid Values | YYYYMMDD Format |
| Rules and Definitions | The Referral Date should be equal to or less than date of data submission. The Referral Date should be equal to or less than the Member's first date of service/treatment. |
| Updates | 10/01/2018 Field added. |
| Examples | The Provider receives a call on January 3, 2019, requesting services. Enter 20190103 |

| | 5- Referral Source | | |
|--------------------------|---|--|--|
| Field Label | Referral_Source | | |
| Description | Identifies the principal source of referral for a Member. | | |
| Valid Values | 37 - Community agency other than Behavioral Health Provider (homeless shelter, church, employer) 38 - Arizona Department of Economic Security (ADES) or Tribal Social Services (Adult or other non-urgent DCS referral, DDD, RSA) (VA, IHS, Federal Prison, etc.) 35 - AHCCCS Health Plan and/or PCP 36 - DCS Urgent Response (child only) 37 - Community agency other than Behavioral Health Provider (homeless shelter, church, employer) 38 - Arizona Department of Economic Security (ADES) or Tribal Social Services (Adult or other non-urgent DCS referral, DDD, RSA) 39 - Arizona Department of Education (ADE) or Tribal Schools 40 - Criminal justice/correctional (includes AOC-Probation, ADOC, ADJC, Jail, including Tribal) 41 - Other | | |
| Rules and Definitions | Data should only be collected beginning of services/treatment. | | |
| Updates | 10/01/2018 Field added. | | |
| Examples | A referral is received from the Arizona Department of Economic Security/Department of Child Safety (ADES/DCS) for an urgent response to provide behavioral health services to a child removed from the home. Enter 36 | | |

| | 6- Treatment Participation |
|--------------------------|--|
| Field Label | Treatment_Participation |
| Description | Refers to the presence of a court order or conditions of parole/probation pertaining to the delivery of Behavioral Health services. |
| Valid Values | V - Voluntary C - Involuntary – Criminal; DUI or conditions of parole/probation N - Involuntary – Civil: MH court order, Drug court |
| Rules and Definitions | Voluntary participation is when a Member (or a parent/guardian, if applicable) is applying for or receiving services voluntarily. Involuntary – Criminal; DUI/ Drug Court /condition of parole/probation is when a Member applies for/receives services as a result of criminal court ordered treatment OR when a Member applies for/receives services as a result of a court ordered DUI screening, education or treatment. Involuntary - Civil/MH Court Order is when a Member applies for/receives services as a result of Title 36 proceedings for a court ordered evaluation (COE) or court ordered treatment (COT) |
| Updates | 10/01/2018 Field added. |
| Examples | The Member walked in and requested services on their own accord. Enter V |

| | 7- Number of Arrests | | |
|--------------------------|--|--|--|
| Field Label | Number_of_Arrests | | |
| Description | The number of times the Member has been arrested within the last 30 days. | | |
| Valid Values | 00 - 31 | | |
| Rules and Definitions | Entry must be ascertained by a clinical professional. Any arrest that occurred within the last 30 days from the date of service. Field will be single line text box with maximum length of 2 characters. | | |
| Updates | 10/01/2018 Field added. | | |
| Examples | The Member has been arrested once during the last 30 days. Enter 01 | | |

| | 8- Arizona Department of Corrections (ADC) or Parole |
|-----------------------|--|
| Field Label | OA_ADC |
| Description | Age 18 and Older Only. Refers to other agencies with a current and/or ongoing role with the Member. Is the Member, age 18 and older, involved with the ADC or on parole? |
| Valid Values | Y - Yes N - No X - Not applicable due to age |
| Rules and Definitions | If Member's age is 0-17 years old, then 'X' is the only accepted value. |
| Updates | 10/01/2018 Field added. |
| Examples | The Adult Member is currently on parole with ADC. Enter Y |

| 9- Arizona Department of Juvenile Corrections (ADJC) | | |
|--|--|--|
| Field Label | OA_ADJC | |
| Description | Age 0 thru 17 Only. Refers to other agencies with a current and/or ongoing role with the Member. Is the Member, age 0 thru 17, involved with the ADJC? | |
| Valid Values | Y - Yes N - No X - Not applicable due to age | |
| Rules and Definitions | If Member's age is 18 years old or older, then 'X' is the only accepted value. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Youth Member is currently involved with ADJC. Enter Y | |

| 10-Adult Probation | | |
|-----------------------|--|--|
| Field Label | OA_AOC_ADULT | |
| Description | Age 18 and Older Only. Refers to other agencies with a current and/or ongoing role with the Member. Is the Member, age 18 and older, on adult probation through the Administrative Office of the Courts (AOC)? | |
| Valid Values | Y - Yes N - No X - Not applicable due to age | |
| Rules and Definitions | If Member's age is 0-17 years old, then 'X' is the only accepted value. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Adult Member is currently on adult probation through AOC. Enter Y | |

| 11-Juvenile Probation | | |
|-----------------------|---|--|
| Field Label | OA_AOC_JUVENILE | |
| Description | Age 0 thru 17 Only. Refers to other agencies with a current and/or ongoing role with the Member. Is the Member, age 0 thru 17, on probation through the County Juvenile Probation Department? | |
| Valid Values | Y - Yes N - No X - Not applicable due to age | |
| Rules and Definitions | If Member's age is 18 years old or older, then 'X' is the only accepted value. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Youth Member is currently on juvenile probation through AOC. Enter Y | |

| 12- DES/RSA Involvement | | |
|-------------------------|---|--|
| Field Label | OA_DES_RSA | |
| Description | Refers to other agencies with a current and/or ongoing role with the Member. Is the Member involved with the Department of Economic Security (DES)/ Rehabilitative Services Administration (RSA)? | |
| Valid Values | Y - Yes N - No | |
| Rules and Definitions | - | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Member is currently involved with DES/RSA. Enter Y | |

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| 13-School Special Education | | |
|-----------------------------|--|--|
| Field Label | OA_SCHOOL_SPECIAL_ED | |
| Description | Refers to other agencies with a current and/or ongoing role with the Member. Is the Member receiving special education services through an Individualized Education Program (IEP) or accommodations through a 504 Accommodation Plan at their school? | |
| Valid Values | Y - Yes N - No X - Not applicable due to age | |
| Rules and Definitions | Member must be 3 years of age, but not more than 21 years old. Refers to other agencies with a current and/or ongoing role with the Member. The Member is receiving special education services through an IEP at their school. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Member is currently receiving special education services. Enter Y The Member is 22 years of age or older. Enter X | |

| | 14- Employment Status |
|--------------------------|--|
| Field Label | EMPLOYMENT_STATUS |
| Description | The Member's current employment status. |
| Valid Values | 17 - Unpaid Rehabilitation Activity25 - Competitively Employed Part-Time20 - Student28 - Other Employment24 - Competitively Employed Full-Time29 - Inactive in the Community |
| Rules and Definitions | Competitively Employed Full-Time Refers to competitive employment performed in an integrated community setting on a full-time basis (35 or more hours per week) for which an individual is compensated in accordance with the Fair Labor Standards Act; or the individual is in military service. Criteria for competitive employment must include the following three components: pay at minimum wage or higher; a job located in an integrated community setting; and a job that was not set aside for a particular population. Employment may be with or without interventions, assistance, or supports, typically provided by staff of a vocational or other rehabilitation program. The individual may have obtained the job with the assistance of a vocational program or on their own. Competitively Employed Part-Time Refers to competitive employment performed in an integrated community setting on a part-time basis (less than 35 hours per week) for which an individual is compensated in accordance with the Fair Labor Standards Act; or the individual is in military service. Criteria for competitive employment must include the following three components: pay at minimum wage or higher; a job located in an integrated community setting; and a job that was not set aside for a particular population. Employment may be with or without interventions, assistance, or supports, typically provided by staff of a vocational or other rehabilitation program. The individual may have obtained the job with the assistance of a vocational program or on their own. |
| | (Continued on the next page) |



| 14- Employment Status | | |
|-----------------------|--|--|
| | Other Employment Refers to employment not otherwise classified as full-time or part-time integrated community employment. This may include, but is not limited to, the following: • Work Adjustment Training: Facility or community based training program that teaches the meaning, value and demands of work, while developing the soft skills needed to obtain competitive employment. Participation in Work Adjustment Training programs is time-limited, with a long-term goal of obtaining competitive employment. Participation in a Work Adjustment Training program is set aside for certain populations and/or other participants of a rehabilitation program. • Transitional Employment Placement: Secured by a vocational agency and set aside for certain populations. Employment is paid and is in an integrated community business setting. Individuals are actual employees of the company, not of the vocational agency. Individuals are paid at least minimum wage and preferably | |
| | the prevailing rate received by regular company employees for the same job. Homemaker: When an individual manages their family household, and performs household duties for others, as a principal occupation. Student If an individual is currently in an educational institution, including, but not limited to, secondary or post-secondary education, trade school, or vocational college, and not involved in any employment activity, they shall be categorized as "student". If an individual is in an educational institution, but also competitively employed or involved in any other type of employment, they shall be categorized in the appropriate employment category. | |
| | Unpaid Rehabilitation Activity Individuals engaging in any rehabilitation activity not already specified in one of the other categories (employed or student), including, but not limited to, work exploration, pre-vocational skill building groups and activities, community activities such as church groups, social skill building activities, mobility training, adjustment to disability training, volunteerism, seeking employment, etc. Inactive in the Community | |
| Undates | Refers to individuals who are not currently employed, looking for work, or involved in any other rehabilitation activity. It may also refer to individuals who are retired or individuals who are inmates of institutions. Use this category only if the individual does not fit in any other category. | |
| Updates | 10/01/2018 Field added. | |

| 15- Dependent Children | | |
|------------------------|---|--|
| Field Label | SP_WOMAN_DC | |
| Description | Identifies Members who have dependent child(ren). Includes children that have been removed and are in the custody of DCS. | |
| Valid Values | Y - Yes N - No X - Not applicable due to gender/sex | |
| Rules and | Female Only. | |
| Definitions | If the Member is male, then 'X' is the only accepted value | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Member is a woman with a dependent child or children. Enter Y | |

A Member works 20 hours per week. Enter 25

Examples

| 16- Social Supports of Recovery | | |
|---------------------------------|---|---|
| Field Label | SUPPORT_GROUPS_PARTICIPATION | |
| Description | How often did the Member participate in any self-help or recovery groups (such as Alcoholics Anonymous, Narcotics Anonymous, WRAP/WELL, Recovery Center programming, etc.) in the past 30 days? | |
| Valid Values | 1 - No attendance in the past month 2 - 1-4 times in past month 3 - 5-12 times in past month | 4 - 13-20 times in past month 5 - 21 or more times in past month |
| Rules and Definitions | Entry must be ascertained by a clinical professional. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Member has participated in a self-help group 2 times in the past month. Enter 2 | |

| 17- Military Status | | |
|--------------------------|---|---|
| Field Label | MILITARY_STATUS | |
| Description | Is the Member a current or former Member of the U.S. Army, Army Reserve/National Guard, U.S. Navy, Navy Reserve, U.S. Marine Corps, Marine Corps Reserve, U.S. Air Force OR are they a military family Member? | |
| Valid Values | A - Active Military B - Veteran C - Retired Veteran D - Disabled Veteran (See Rules and Definitions) | E - Military Family Member F - No Active or Veteran Military Status G - Unknown (See Rules and Definitions) X - Not applicable due to age (0 through 16 only) |
| Rules and Definitions | D – Disabled Veteran A veteran whose disability was a result of an injury or disease that was incurred or aggravated while on active duty or active duty for training; or from injury, heart attack, or stroke that occurred during inactive duty training. A disability may apply to physical and mental health conditions. G – Unknown An individual who may not disclose their military status, if any. For individuals age 16 and younger, the only valid values allowed are 'X' and 'E'. | |
| Updates | 10/01/2018 Field added. | |
| Examples | A Member reports that they are currently serving in the U.S. Army. Enter A A Member is 15 years old and reports not having a family Member in the military. Enter X | |

| 18- CASII Intensity Level | | | |
|---------------------------|---|---|--|
| Field Label | CASII_INTENSITY_LEVEL | | |
| Description | The CASII (Children and Adolescent Service Intensity Instrument) applies to children ages 6 thru 17, measuring objective quantifiable criteria for determination of service intensity. It describes an array of services and a level of service intensity rather than a specific treatment setting or program. It does not describe a recommended level of care. The CASII is required as part of the initial 45 day assessment period, then at a minimum annually and thereafter, and at the end of treatment from BH services. | | |
| Valid Values | 00 - Basic Services for Prevention and Maintenance 01 - Recovery Maintenance and Health Management | 05 - Non-Secure, 24-Hour Services with Psychiatric Monitoring 06 - Secure, 24-Hour Services with Psychiatric Management XX - Not applicable due to age (0-5, 18+) | |
| Rules and Definitions | Entry must be ascertained by a clinical professional. If a Member is age 6 or older and less than age 18, a CASII Intensity Level is required every 6 months. If a Member is younger than 6 years OR 18 years old or greater CASII Intensity Level must be XX. | | |
| Updates | 10/01/2018 Field added. | | |
| Examples | A Member is assessed using the CASII at the time of the initial assessment and is determined to have needs requiring intensive integrated services without 24-hour psychiatric monitoring. Enter 04 | | |

| 19- CASII Intensity Date | | |
|--------------------------|---|--|
| Field Label | CASII_INTENSITY_DATE | |
| Description | The CASII Intensity Date must reflect the date on which the CASII Intensity Level (Field 18) was assessed. The CASII is required as part of the initial 45 day assessment period, at a minimum annually and thereafter, and at the end of treatment from BH services. | |
| Valid Values | YYYYMMDD Format | |
| | Entry must be ascertained by a clinical professional. | |
| Rules and Definitions | A valid date value must be provided each time a CASII Intensity Level is provided. | |
| Bernintione | If data is submitted via file upload and level the CASII Intensity level is XX, leave all eight (8) spaces blank in place of the date (spaces 50 to 57). | |
| Updates | 10/01/2018 Field added. | |
| Examples | Date is recorded as the 4 digit year, 2 digit month and 2 digit day. A Member's CASII Intensity Level (Field 19) changed on March 26, 2018. Enter 20180326 | |

| 20- Substance Use Primary Type | | |
|--------------------------------|--|--|
| Field Label | SA_PRIMARY_TYPE | |
| Description | The primary psychoactive substance used. | |
| Valid Values | 0001 - None 0201 - Alcohol 0302 - Cocaine/Crack (CNS Stimulants) 0401 - Marijuana/Hashish 0501 - Heroin / Morphine (Opiates / Narcotics) 0706 - Other Opiates/Synthetics 0902 - Hallucinogens 1001 - Methamphetamine/Speed (CNS Stimulants) 1201 - Other Stimulants 1308 - Benzodiazepines (CNS Depressants) 1605 - Other Sedatives/Tranquilizers (CNS Depressants) 1703 - Inhalants 2002 - Other Drugs | |
| Rules and Definitions | Entry must be ascertained by a clinical professional. If valid value "0001" (None) is used, then: Only valid values of "none / no use" will be accepted in Substance Use Primary Fields (21-23), in the Substance Use Secondary Fields (24-27), and in the Substance Use Tertiary Fields (28-31). If a valid value other than "0001" (None) is entered, then: This value may NOT be repeated in Substance Use Secondary Type or Substance Use Tertiary Type (used only once). When entering multiple substance use, Substance Use Primary Type, Substance Use Secondary Type, and Substance Use Tertiary Type must be populated in order. Fields 21-Substance Use Primary Frequency and 22-Substance Use Primary Route cannot be null. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Member's primary substance use has been heroin. Enter 0501 | |

| 21- Substance Use Primary Frequency | | |
|-------------------------------------|---|--|
| Field Label | SA_FREQUENCY_1 | |
| Description | The frequency of use of the current primary substance use (Field 20-Substance Use Primary Type). | |
| Valid Values | 1 - No use during the past month 2 - 1 –3 times in past month 3 - 1 – 2 times per week 4 - 3 – 6 times per week | 5 - 1 or more times per day 6 - No use during the past 3 months 7 - No use during the past 6 months 8 - No use during the past 12 months |
| Rules and Definitions | Entry must be ascertained by a clinical professional. If "0001" (None) is entered for Substance Use Primary Type (Field 20), then only a valid value "1" will be accepted. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Member has been using heroin one time per day for the past month. Enter 5 | |

| 22-Substance Use Primary Route | | |
|--------------------------------|---|--|
| Field Label | SA_ROUTE_1 | |
| Description | The route of administration of the current primary substance use (Field 21-Substance Use Primary Type). | |
| Valid Values | 1 - Oral 2 - Smoking 3 - Inhalation 4 - Injection 6 - No use during the past month | |
| Rules and Definitions | Entry must be ascertained by a clinical professional. If "0001" (None) is entered for Substance Use Primary Type (Field 21), then only a valid value "6" will be accepted. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Member has been administering heroin via intravenous injection. Enter 4 | |

| 23-Substance Use Primary Age First Use | | |
|--|--|--|
| Field Label | SA_AGE_1 | |
| Description | The Member's age at first use of the reported current primary substance use (Field 21- Substance Use Primary Type). | |
| Valid Values | 01-99 - Years of age 00 - No use | |
| Rules and Definitions | Entry must be ascertained by a clinical professional. If "0001" (None) is entered for Substance Use Primary Type (Field 20), then only a valid value "00" will be accepted. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Member began using heroin at age 25. Enter 25 | |

| 24- Substance Use Secondary Type | | |
|----------------------------------|--|--|
| Field Label | SA_SECONDARY_TYPE | |
| Description | The secondary psychoactive substance used. | |
| Valid Values | 0001 - None 0201 - Alcohol 0302 - Cocaine/Crack (CNS Stimulants) 0401 - Marijuana/Hashish 0501 - Heroin / Morphine (Opiates / Narcotics) 0706 - Other Opiates/Synthetics 0902 - Hallucinogens 1001 - Methamphetamine/Speed (CNS Stimulants) 1201 - Other Stimulants 1308 - Benzodiazepines (CNS Depressants) 1605 - Other Sedatives/Tranquilizers (CNS Depressants) 1703 - Inhalants 2002 - Other Drugs | |
| Rules and Definitions | Entry must be ascertained by a clinical professional. If valid value "0001" (None) is used in Field 20-Substance Use Primary Type, then: Only a valid value "0001" (None) will be accepted. Only valid values of "none / no use" will be accepted in Substance Use Secondary Fields (24-27). If a valid value other than "0001" (None) is entered, then: This value may NOT be repeated in Substance Use Primary Type or Substance Use Tertiary Type (used only once). When entering multiple substance use, Substance Use Primary Type, Substance Use Secondary Type, and Substance Use Tertiary Type must be populated in order. Fields 25-Substance Use Secondary Frequency and 26-Substance Use Secondary Route-cannot be null. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Member's secondary substance use was alcohol. Enter 0201 | |

| 25-Substance Use Secondary Frequency | | |
|--------------------------------------|---|--|
| Field Label | SA_FREQUENCY_2 | |
| Description | The frequency of use of the current secondary substance use (Field 24-Substance Use Secondary Type). | |
| Valid Values | 1 - No use during the past month 2 - 1 – 3 times in past month 3 - 1 – 2 times per week 4 - 3 – 6 times per week | 5 - 1 or more times per day 6 - No use during the past 3 months 7 - No use during the past 6 months 8 - No use during the past 12 months |
| Rules and Definitions | Entry must be ascertained by a clinical professional. If "0001" (None) is entered for Substance Use Secondary Type (Field 24), then only a valid value "1" will be accepted. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Member has used alcohol three times in the past month. Enter 2 | |

| 26-Substance Use Secondary Route | | |
|----------------------------------|---|--|
| Field Label | SA_ROUTE_2 | |
| Description | The route of administration of the current secondary substance use (Field 25-Substance Use Secondary Type). | |
| Valid Values | 1 - Oral 2 - Smoking 3 - Inhalation 4 - Injection 6 - No use during the past month | |
| Rules and Definitions | Entry must be ascertained by a clinical professional. If "0001" (None) is entered for Substance Use Secondary Type (Field 24), then only a valid value "6" will be accepted. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Member has been administering alcohol orally. Enter 1 | |

| 27-Substance Use Secondary Age First Use | |
|--|--|
| Field Label | SA_AGE_2 |
| Description | The Member's age at first use of the reported current secondary substance use (Field 25- Substance Use Secondary Type). |
| Valid Values | 01-99 - Years of age 00 - No use |
| Rules and Definitions | Entry must be ascertained by a clinical professional. If "0001" (None) is entered for Substance Use Secondary Type (Field 24), then only a valid value "00" will be accepted. |
| Updates | 10/01/2018 Field added. |
| Examples | The Member began using alcohol at age 15. Enter 15 |

| 28- Substance Use Tertiary Type | | |
|---------------------------------|---|--|
| Field Label | SA_TERTIARY_TYPE | |
| Description | The tertiary psychoactive substance used. | |
| Valid Values | 0001 - None 0201 - Alcohol 0302 - Cocaine/Crack (CNS Stimulants) 0401 - Marijuana/Hashish 0501 - Heroin / Morphine (Opiates / Narcotics) 0706 - Other Opiates/Synthetics 0902 - Hallucinogens 1001 - Methamphetamine/Speed (CNS Stimulants) 1201 - Other Stimulants 1308 - Benzodiazepines (CNS Depressants) 1605 - Other Sedatives/Tranquilizers (CNS Depressants) 1703 - Inhalants 2002 - Other Drugs | |
| Rules and Definitions | Entry must be ascertained by a clinical professional. If valid value "0001" (None) is used in Field 20-Substance Use Primary Type and Field 24-Substance Use Secondary Type then: Only a valid value "0001" (None) will be accepted. Only valid values of "none / no use" will be accepted in fields the Substance Use Tertiary Fields (28-31). If a valid value other than "0001" (None) is entered, then: This value may NOT be repeated in Substance Use Primary Type or Substance Use Secondary Type (used only once). When entering multiple substance use, Substance Use Primary Type, Substance Use Secondary Type, and Substance Use Tertiary Type must be populated in order. Fields 29-Substance Use Tertiary Frequency and 30-Substance Use Tertiary Route cannot be null. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Member's tertiary substance use has been methamphetamine. Enter 1001 | |

| 29- Substance Use Tertiary Frequency | | |
|--------------------------------------|--|--|
| Field Label | SA_TERTIARY_3 | |
| Description | The frequency of use of the current tertiary substance use (Field 29-Substance Use Tertiary Type). | |
| Valid Values | 1 - No use during the past month 2 - 1 –3 times in past month 3 - 1 – 2 times per week 4 - 3 – 6 times per week | 5 - 1 or more times per day 6 - No use during the past 3 months 7 - No use during the past 6 months 8 - No use during the past 12 months |
| Rules and Definitions | Entry must be ascertained by a clinical professional. If "0001" (None) is entered for Substance Use Tertiary Type (Field 28), then only a valid value "1" will be accepted. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Member has used methamphetamine 5 times in the past month. Enter 4 | |

| 30-Substance Use Tertiary Route | | |
|---------------------------------|--|--|
| Field Label | SA_ROUTE_3 | |
| Description | The route of administration of the current tertiary substance use (Field 29-Substance Use Tertiary Type). | |
| Valid Values | 1 - Oral 2 - Smoking 3 - Inhalation 4 - Injection 6 - No use during the past month | |
| Rules and Definitions | Entry must be ascertained by a clinical professional. If "0001" (None) is entered for Substance Use Tertiary Type (Field 29), then only a valid value "6" will be accepted. | |
| Updates | 10/01/2018 Field added. | |
| Examples | The Member has been administering methamphetamine by smoking. Enter 2 | |

| 31-Substance Use Tertiary Age First Use | | | | | |
|---|---|--|--|--|--|
| Field Label | SA_AGE_3 | | | | |
| Description | The Member's age at first use of the reported current tertiary substance use (Field 29- Substance Use Tertiary Type). | | | | |
| Valid Values | 01-99 - Years of age 00 - No use | | | | |
| Rules and Definitions | Entry must be ascertained by a clinical professional. If "0001" (None) is entered for Substance Use Tertiary Type (Field 28), then only a valid value "00" will be accepted. | | | | |
| Updates | 10/01/2018 Field added. | | | | |
| Examples | The Member began using methamphetamine at age 28. Enter 28 | | | | |

VI. Document Revision History

| Version | Effective Date | Revision Type | Revision Section | Revision Reason/Description |
|---------|-------------------|------------------|---------------------|-----------------------------|
| | | | | |